

**Language Clause:** The French version of this document is the original version.  
In case of any discrepancy or interpretation issue, the French version shall prevail.

## **Key Information Document – OTC Secondary Market (Free Market)**

### **1. Nature of the Market**

The OTC secondary market (Over-The-Counter) allows owners of tangible physical assets (such as casks of rum, whisky, tequila, balsamic vinegar or similar goods) to offer their assets for sale to third parties through private over-the-counter transactions.

Transactions relate exclusively to real physical goods and do not constitute financial instruments, investment products or investments within the meaning of LSF in or any other financial market regulation.

### **2. General Operating Principles**

Each transaction is concluded directly between the seller and the buyer, without public pricing, automated trading platforms or structured market mechanisms.

The sale price is determined solely by the seller, in particular based on:

- the nature of the product,
- the ageing period,
- the remaining volume,
- rarity and market demand at the time of sale.

The buyer submits a binding offer based on the available documentation.

Once accepted by the seller, the transaction becomes legally binding and constitutes a firm sale.

### **3. Role of AlpTrade Consulting**

AlpTrade Consulting acts exclusively as an administrative and commercial agent, with no obligation of result.

No assessment of the personal, financial or wealth situation of the seller or the buyer is carried out.

AlpTrade Consulting may, within the scope of a resale mandate:

- verify administrative elements,
- introduce potential buyers,
- assist with contractual documentation,
- coordinate administrative ownership transfer steps.

AlpTrade Consulting:

- does not guarantee a sale,
- does not guarantee price or timeframe,
- assumes no economic responsibility in the event of non-sale or sale below expectations.

A resale mandate agreement is executed prior to any marketing activity.

#### **4. Commission**

AlpTrade Consulting receives a 5% commission on the final sale price.

The commission is due only upon successful completion of the transaction, following:

- acceptance of the offer by the seller,
- confirmation of ownership transfer,
- full payment by the buyer.

The commission reflects an obligation of means, not an obligation of result.

No fees are charged in the absence of a sale.

#### **5. Information Platform**

A secure information platform is provided for registered sellers and qualified buyers to view available opportunities.

#### **6. Transaction Duration**

Transaction duration may range from several weeks to several months.

The seller expressly acknowledges that no sale or timeframe is guaranteed.

#### **7. Sale Price Determination**

The sale price is solely the responsibility of the seller.

Any market information provided is indicative only and does not constitute advice, recommendation or guarantee.

## 8. Contractual Framework and Transparency

Each transaction is documented through:

- a resale mandate,
- a signed purchase offer,
- a transfer confirmation issued by the storage entity.

No return, valuation or resale guarantee is provided.

## 9. Key Points

- OTC secondary market = private free market
- No liquidity, price or timing guarantee
- Price under seller responsibility
- 5% commission only upon successful sale
- Obligation of means only
- No investment or return promises

## 10. Contact

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